

## Pensions Authority's Disclosure Log

The FOI Act requires the Pensions Authority to publish a Disclosure Log, which contains details of the types of requests received under FOI since January 2016 and the decisions made by the body in response to those requests.

The Pensions Authority's FOI Disclosure Log is available below.

Disclosures are listed in order of the date the request was received by the Pensions Authority. Please note that, for privacy reasons, identifying information such as the name of the requester is not included in the Disclosure Log.

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
08 February 2016	Journalist	Copies of all correspondence and representations made and received since January 2014 in relation to the entitlement of a holder of a personal retirement bond, who was previously in a defined benefit pension scheme, to invest in an approved retirement fund.	Part granted	07 March 2016
21 April 2016	Individual	A copy of all correspondence between the trustees of a pension scheme and the Pensions Authority from January 2009 to date.	Granted	20 June 2016

<sup>1</sup> Client/Other categories are described as individual on the disclosure log for consistency.

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
15 June 2016	Member of the Oireachtas	All correspondence between the Pensions Authority and stakeholders regarding concerns or warnings raised and alternatives proposed, regarding the viability and sustainability of the current range of pension tax reliefs offered over the coming years since 2012.	Granted	12 July 2016
08 November 2016	Member of an organisation	Records on unclaimed pension policies for the years 2014, 2015 & 2016.	Refused as the Pensions Authority do not hold these records	21 November 2016
15 December 2016	Individual	<ul style="list-style-type: none"> <li>• The total amount collected in fees by the Pension Authority in 2014 &amp; 2015 in respect of pension schemes and broken down by scheme type:               <ul style="list-style-type: none"> <li>(a) Defined Benefit Schemes;</li> <li>(b) Defined Contribution Schemes;</li> <li>(c) Trust RAC; and</li> <li>(d) PRSA.</li> </ul> </li> <li>• The total number of individuals who are in each type of scheme as outlined in (a) (b) (c) and (d) above ( e.g. number in DB, number in DC etc.).</li> </ul>	Part granted	24 January 2017



Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
		<ul style="list-style-type: none"><li>The average fund value, per individual, for each type of scheme (a) (b) (c) and (d) above.</li></ul>		
27 January 2017	Business/Interest Group	<p>In relation to a pension scheme, a copy of all records detailing:</p> <ul style="list-style-type: none"><li>The application to the Pensions Authority for an Order under section 50 of the Pensions Act;</li><li>The approval of any direction regarding the pension plan;</li><li>Any plans to remove guaranteed pensions increases;</li><li>Any consultations with members of the pension scheme regarding the implementation of a cap on pensionable salary increases; and</li><li>Communications with the employer and trustees about the scheme.</li></ul>	Part granted	24 March 2017
27 February 2017	Journalist	<p>A list of external legal practitioners (solicitors/law firms and barristers) paid in 2015 and 2016 for services carried out on behalf of the Pensions Authority, including the name of the practitioner, the sum paid and, where possible, a general description of the work carried out.</p>	Granted	24 March 2017

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
16 May 2017	Individual	<ul style="list-style-type: none"> <li>• Amount (in €) of pension payments to pension beneficiaries within the EU per year.</li> <li>• Amount (in €) of pension payments to non-resident pension beneficiaries per year.</li> <li>• The average pension (in € per month) paid to these countries.</li> <li>• Top three countries of non-resident pension beneficiaries.</li> <li>• Amount (in €) of overpaid pensions for non-resident pension beneficiaries per year.</li> </ul>	Transferred to the Department of Social Protection	18 May 2017
10 October 2017	Business/Interest Group	<ul style="list-style-type: none"> <li>• Number of corporate Personal Retirement Bonds / Buy-Out-Bonds in existence.</li> <li>• Number of individuals with assets in corporate Personal Retirement Bonds / Buy-Out-Bonds.</li> <li>• Estimated value of total assets held within corporate Personal Retirement Bonds / Buy-Out-Bonds.</li> <li>• Number of retail individual Personal Retirement Bonds / Buy-Out-Bonds in existence.</li> </ul>	Refused as the Pensions Authority do not hold these records	18 October 2017

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
		<ul style="list-style-type: none"> <li>Estimated value of total assets held within retail individual Personal Retirement Bonds / Buy-Out-Bonds.</li> </ul>		
17 October 2017	Individual	Request for all records maintained in relation to the policy of the Pensions Authority in relation to prosecutions of alleged breaches of section 58A(1) of the Pensions Act 1990 to include details in respect of the number of prosecutions commenced, details of the number of letters before action and details and records in relation to policy and procedure.	Part granted	14 November 2017
19 October 2017	Individual	A copy of all recorded correspondence between the Pensions Authority and the requester's pension scheme between 1 April 2015 and April 2017.	Granted	13 November 2017
08 January 2018	Individual	A funding proposal submitted to and approved by the Pensions Authority in 2012 for the purpose of addressing a minimum funding standard deficit in a named scheme.	Granted	02 February 2018
20 February 2018	Interest Group		Granted	27 March 2018

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
		All communications and representations received by the Pensions Authority from associated groups and employees of two named pension schemes, as to the internal administration and governance of both schemes.		
15 March 2018	Individual	<ul style="list-style-type: none"> <li>• Copies of all correspondence between a named pension scheme and the Pensions Authority in the year 2009.</li> <li>• Copies of all correspondence regarding funding proposals.</li> <li>• Correspondence in relation to a section 59 (Pensions Act 1990) complaint to the Pensions Authority in 2017.</li> </ul>	Granted	13 April 2018
22 May 2018	Business/Interest Group	<ul style="list-style-type: none"> <li>• All Interim / final reports and determinations and conclusions reached by the Pensions Authority subsequent to a fact-finding exercise in 2016 into a named pension scheme.</li> <li>• All decisions made by the Pensions Authority in respect of the governance of a scheme on foot of a letter sent by the Authority to the trustees of the scheme in 2016.</li> </ul>	Part granted	24 July 2018

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
		<ul style="list-style-type: none"> <li>All correspondence from the Pensions Authority to certain members in respect of the fact-finding exercise.</li> </ul>		
17 September 2018	Individual	<ul style="list-style-type: none"> <li>A statement of reasons explaining why the Authority made a section 50 decision in respect of a named pension scheme.</li> <li>A copy of the application for a section 50 decision, and a copy of the decision issued by the Authority and a copy of any other documents that influenced the Authority or the Authority used in reaching their decision in relation to a named pension scheme.</li> </ul>	Granted	15 October 2018
7 December 2018	Individual	<p>Copies of the following records in relation to a named pension scheme:</p> <ul style="list-style-type: none"> <li>A funding proposal submitted by the scheme trustees in 2011 for a named scheme</li> <li>Any decision made in relation to the above funding proposal</li> <li>All correspondence between the Pensions Authority, the scheme trustees and the named employer in</li> </ul>	Granted	17 January 2019

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
		relation to the submission and decision of the 2011 funding proposal.		
13 February 2019	Individual	Any Documentation between the Pensions Authority and the relevant Government Departments from 1 January 2018 to date of request setting out the rationale for the decision to remove the derogations from schemes in relation to the transposition of IORP II.	Refused as Pensions Authority deliberations are exempt under S29(1)	13 March 2019
28 March 2019	Journalist	All records, including correspondence, notes, minutes and emails relating to communications with Revenue regarding the IORP II Directive.	Part granted	25 April 2019
17 September 2019	Individual	<p>Copies of the following records in relation to a named pension scheme:</p> <ul style="list-style-type: none"> <li>• A funding proposal submitted circa. 2010 - 2011</li> <li>• Any decision made in relation to the funding proposal</li> <li>• Any correspondence relating to the funding proposal and decision.</li> </ul>	Granted	18 October 2019



Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
21 October 2019	Individual	<p>Copies of correspondence in relation to two named pension schemes sent to the Pensions Authority between 26 July 2019 and 21 October 2019 from:</p> <ul style="list-style-type: none"> <li>• A named employer</li> <li>• The trustees and the secretary of the two named schemes; and</li> <li>• The committee of one of the schemes.</li> </ul>	Granted	19 November 2019
14 January 2020	Journalist	Copies of minutes of all meetings of the Pensions Authority held in 2019.	Part granted	11 February 2020
27 January 2020	Individual	<p>Copies of the following in relation to a named scheme:</p> <ul style="list-style-type: none"> <li>• Records of details of the criteria used by the Pensions Authority to approve a 2011 funding proposal submitted to the Authority by the trustees.</li> <li>• A statement of reasons under section 10 of the FOI Act explaining the decision by the Pensions Authority to approve the 2011 funding proposal.</li> </ul>	Granted	26 February 2020

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
27 January 2020	Individual	Copies of all letters sent by the Authority to the trustees and pensions committee of two named schemes between 1 July 2019 and 11 January 2020.	Refused	24 February 2020
3 March 2020	Individual	Copies of records of oversight inspections of the trustees of a named scheme under Directive (EU) 2016/2341.	Refused	5 March 2020
3 March 2020	Individual	<p>Copies of the following in relation to a named scheme:</p> <ul style="list-style-type: none"> <li>• The information provided by the trustees when registering the scheme relating to: <ul style="list-style-type: none"> <li>(a) its legal status;</li> <li>(b) its management; and</li> <li>(c) the legal responsibilities of the trustees to ensure the funding of the scheme in accordance with the applicable law.</li> </ul> </li> <li>• The criteria applied in justifying the approval by the Pensions Regulator of the funding proposal submitted by the trustees in July 2011.</li> </ul>	Granted	1 April 2020

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
22 July 2020	Individual	<p>Copies of the following in relation to a complaint against the trustees of a named scheme:</p> <ul style="list-style-type: none"> <li>• The full complaint.</li> <li>• Any additional correspondence between the Pensions Authority and the complainant in relation to the complaint.</li> <li>• The decision made in response to the complaint.</li> </ul>	Refused	20 August 2020
24 November 2020	Journalist	Copies of any complaints made by members of the public to Pensions Authority regarding the Pensions Authority's customer service from 1 January 2020 to November 2020.	Granted	15 December 2020
24 November 2020	Individual	Copies of all correspondence between the trustees of a named scheme and the Pensions Authority from January 2014 to November 2020.	Granted	17 December 2020
30 December 2020	Journalist	Copies of minutes of all meetings of the Board of the Pensions Authority held in 2020.	Part granted	28 January 2021

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
14 January 2021*	Individual	<p>Copies of the following in relation to a named scheme:</p> <ul style="list-style-type: none"> <li>• Any records between the Pensions Authority and the trustee board of the scheme and also the sponsoring employer between 1 January 2009 and 31 December 2010.</li> </ul> <p>*Records relating to the request were inaccessible for some time due to office closure in line with government public health advice. The request was withdrawn by agreement with the requester in January and was processed on 16 July 2021.</p>	Granted	13 August 2021
4 February 2021	Individual	<p>Copies of the following in relation to a named scheme:</p> <ol style="list-style-type: none"> <li>1. Minutes of meetings with the trustees and/or pension scheme committee from 2017 to 2 February 2021 (if not included in 3 below);</li> <li>2. Financial accounts of the scheme received by the Pensions Authority from 2017 to date of request;</li> <li>3. Records relating to the 2018 supervisory engagement that were excluded by agreement from the FOI request of 24 November 2020;</li> </ol>	Part granted	4 March 2021



Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
		4. Correspondence between the Pensions Authority and the trustees and/or the pension scheme committee from 24 November 2020 to 2 February 2021.		
16 February 2021	Journalist	A list of all Pensions Authority purchase orders over €20,000 for the period 2018 – 2020.	Refused	18 February 2021
30 April 2021	Individual	Copies of the following in relation to a named scheme: <ul style="list-style-type: none"><li>• all records from October 2020 to the date of the request that relate to the early termination/alterations of the section 50 granted to the scheme in 2014 and the funding proposal.</li><li>• Records to include correspondence between the actuary/trustees/scheme committee and the Pensions Authority.</li></ul>	Granted	31 May 2021
29 September 2021	Individual	A copy of the funding proposal submitted to the Pensions Authority between 1 August 2021 and 29 September 2021 in respect of a named scheme.	Refused	21 October 2021

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
2 November 2021	Business Interest Group	A list of all external suppliers paid between €5,000 and €20,000 by the Pensions Authority from 1 January 2020 to 31 December 2020 to include the name of supplier, total amount paid to each supplier and the suppliers' VAT identification number.	Part granted	25 November 2021
12 August 2022	Business Interest Group	<ul style="list-style-type: none"> <li>• Details of the number of IT/ICT hardware devices deployed by the Pensions Authority;</li> <li>• Details of IT/ICT hardware replacement plans and projected expenditure; and</li> <li>• Details of software application replacement plans.</li> </ul>	Granted	9 September 2022
7 February 2023	Individual	<ol style="list-style-type: none"> <li>1. Records held by the Pensions Authority detailing the number of persons, who have retired on ill-health grounds in the last 10 years and who were granted an additional amount of added service, which equated to either:               <ol style="list-style-type: none"> <li>a. the difference between their actual service and the additional service which they would have accrued if they had remained in employment to their normal retirement age</li> </ol> </li> </ol>	Granted	23 February 2023

Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
		<p>(being either 60 or 65 years based on their status)</p> <p>or</p> <p>b. the difference between their actual service and 40 years “full service”, and which exceeded the additional service which they would have accrued if they had remained in employment up to normal retirement age.</p> <p>2. The number of notional added years given to persons in 1A and 1B above.</p>		
9 March 2023	Individual	Records of correspondence between the Pensions Authority and a named trustee in respect of an investigation carried out by the Pensions Authority in relation to the named trustee from 1 July 2021 to 28 February 2023.	Refused	21 April 2023
1 May 2023	Individual	<p>All versions of the Climate Action Plan, which all organisations are required to have according to the Climate Act, including:</p> <ul style="list-style-type: none"> <li>• Full details of all Plans you have had since the Act came into force.</li> <li>• Date of publication of each Plan.</li> </ul>	Granted	24 May 2023



Date of Request	Category of Requester <sup>1</sup>	Description of Request	Decision made	Date of release
		<ul style="list-style-type: none"><li>• Date each Plan came into effect.</li><li>• The amount of money, broken down according to the reasons for the costs, spent on the creation and implementation of each Plan.</li></ul> <p>*Request received in Irish and translated to English.</p>		

*Up to date as at: June 2023*